

JANANAYAK CHANDRASHEKHAR UNIVERSITY,
BALLIA

ORDINANCE FOR THE AWARD OF THE
DEGREE OF
DOCTOR OF SCIENCE (D.Sc.), DOCTOR OF LETTERS (D. Litt.)
DOCTOR OF LAWS (LL.D.)

I. INTRODUCTION

I.1 This Ordinance shall be called the "Doctor of Science (D. Sc.), Doctor of Letters (D. Litt.) and Doctor of Laws (LL. D.) Ordinance, 2021 of JNCU, Ballia" and shall be in effect from the date of approval of the Executive Council.

I.2 The degree of D. Sc./D. Litt. And LL. D. of Jananayak Chandrashekhar University, Ballia are the highest post-doctoral degrees in respective disciplines of the University and shall be conferred on the candidates who fulfill the requirements as specified in the ordinance.

II. ELIGIBILITY

II.1 A candidate seeking admission to D. Sc./ D. Litt./ LL. D. programme of the University must have pursued outstanding research in the concerned discipline and obtained the minimum qualifications required for admission as mentioned below. He/she

(a) must have obtained a Ph. D. or an equivalent degree from this or any other recognized University in the concerned discipline at least 4 (four) academic years prior to the date of application,

and

(b) must have published a minimum of 10 research papers in UGC approved or peer reviewed journals.

and

(c) must be a permanent teacher of a University/autonomous/affiliated/ constituent/ college, who has put in a minimum of 4 (four) years of service in that capacity in his institution, prior to the date of application.

III. APPLICATION

III.1 A candidate, who is seeking admission to D. Sc./ D. Litt./ LL. D. programme and who is eligible for admission in accordance with Clause II of this Ordinance, shall apply to the Controller of Examinations/ Registrar by submitting the following

- (a) His/ her bio-data giving the details of educational qualifications, fields of specialization, research experience, academic distinctions, etc., along with two passport size photographs.
- (b) Title of the thesis.
- (c) A brief account of his/ her recent research work in about 1000 words on the subject relevant to the discipline in which he/ she is applying for admission to D. Sc./ D. Litt./ LL. D. programme, showing how far his/ her work is original and is contributory to the advancement of knowledge.
- (d) List of publications.
- (e) Attested copies of certificates in support of qualifications and experience.
- (f) A certificate from two persons each of whom is a member of the Academic Council of this University or a Professor of a University or a distinguished scholar or a living legend of this University to the effect that he/ she is by habits and character a fit and a proper person to be admitted to the degree.

III.2 The last date for submission of the application form shall be 31st December and 31st July in every academic year.

III.3 The Controller of Examinations/ Registrar shall send the application of the candidate to the concerned Faculty within a week after the last date of the submission of application.

IV. RESEARCH COMMITTEE

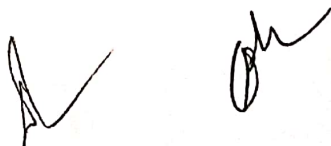
IV.1 A committee, namely, the Research Degree Committee (RDC), that shall work under the superintendence of the Academic Council, shall deal with all matters connected with the D. Sc./ D. Litt./ LL. D. programme of the University in accordance with this Ordinance. The degree shall be formally approved only by the Research Degree Committee, whose constitution is similar to that of Research Degree Committee for the Ph. D. degree.

IV.2 The constitution of the RDC shall be as follows:

- | | | |
|------|---|-------------|
| i) | The Vice Chancellor | Chairperson |
| ii) | Dean of the faculty | Member |
| iii) | All Professors of the concerned department | Members |
| iv) | Two experts from the concerned faculty, nominated by the Vice Chancellor and the advisor of the candidate | Members |
| v) | One Associate Professor and one Assistant Professor of the Department | Members |
| vi) | Concerned Head of the Department | Secretary |
| vii) | One representative each from OBC and SC/ST categories to be nominated by the Vice Chancellor | |

V. ADMISSION

V.1 The RDC shall scrutinize the applications of the candidates and shall recommend the eligible candidates for admission to the Academic council of the University. The RDC shall also send the list of the maximum three names of the advisors for the candidate to the Academic council. The candidate may also propose the name of his advisors.



- V.2 The Registrar shall issue the letter of admission to each candidate recommended by the RDC.
- V.3 Within one month after the receipt of the letter of admission, the candidate shall pay the prescribed fee as per *Annexure – A*, and shall get registered in the concerned Department by filling a registration form.
- V.4 If the candidate is not an alumnus of this University, he/ she shall get enrolled at the time of admission.

VI. SUBMISSION OF THE THESIS

VI.1 A candidate, admitted to D. Sc./ D. Litt./ LL. D. programme in accordance with Clause V of this ordinance, shall deliver a pre-submission seminar in the Department/University before the submission of the thesis, which shall be arranged by the Head of the Department (HOD) of the candidate to apprise the teachers and other research workers of the Department of his/ her work.

VI.2 The candidate, after a minimum period of two years, but not later than five years, from the date of his/ her admission, shall submit the thesis to the Registrar (Academic) approved by the concerned RDC, and duly forwarded by its HOD/ Chairman.

VI.3 The candidate shall submit the thesis as per the following guidelines:

- (a) Five copies of the thesis in hardbound form as per the format given in *Annexure – B*.
- (b) The title page of the thesis shall contain a statement that the thesis has been submitted for the award of the concerned degree for which the candidate has been admitted.
- (c) A soft copy in CD of the Extended Abstract of the thesis mentioned in *Annexure – B*.
- (d) A declaration by the candidate that the thesis has not been submitted for any other degree or diploma, as per the format given in *Annexure – C*.
- (e) A certificate from the Head of the Department/Chairperson and the Dean of the faculty that the thesis has been submitted for the award of the concerned degree of the University, as per the format given in *Annexure – D*.
- (f) The thesis shall be either in English or in *Hindi* except for the case where the subject of the thesis itself is a language. In such a case, the thesis may, at the option of the candidate, be in that specific language.

VI.4 The work of the candidate shall comply with the following conditions to merit the award of the degree:

It must be an extraordinary contribution making a distinct addition to the quantum of knowledge in the concerned subject of the discipline.

It must be original in the sense of opening up new fields of research, or of making a marked advancement on the results of previous investigations, or of giving a new interpretation of the facts already known.

It must be a scholarly work of high quality and distinct from the work of the candidate's Ph.D. work.

It must be the work done during the last five years before the submission of the thesis.



It must be the work published in reputed journals in the form of research papers and/ or published in the form of books/ monographs, chapter contribution to books/ monographs, etc., out of which at least two must be authored solely by the candidate.

It must not be the work which has been previously submitted for a degree or a diploma in this or in any other University.

VII. EXAMINATION

VII.1 Panel of Examiners:



While forwarding the thesis of the candidate to the Academic Council of the University, the RDC shall recommend a panel of examiners of four to six (two/ three from India but outside Ballia and two/ three from abroad) experts in the concerned area of the work submitted.

VII.2 Board of Examiners:

On receipt of the panel of the examiners, the Academic Section shall forward the same to the Controller of Examinations/ Registrar, who in turn shall submit it to the Vice- Chancellor for the appointment of the Board of Examiners from the panel. The Board of Examiners shall consist of two members, out of which one shall be from abroad. The Vice Chancellor may include a few names of examiners in the panel.

VII.3 Evaluation of the Thesis:

- (a) The Controller of Examinations/ Registrar shall contact each examiner through email/ letter to secure acceptance of the examinership and the soft copy of the Extended Abstract of the thesis may be sent to him/ her, to get his/ her consent at the earliest. If however, no information is received from an examiner within a month, his/ her appointment may be cancelled and a new examiner shall be appointed from the existing panel of examiners in accordance with Clause VII.3.
- (b) On receipt of the acceptance from an examiner, the Controller of Examinations/Registrar shall forward the copy of the thesis to him/ her electronically (soft copy), along with a copy of the regulations relating to the award of the D. Sc./ D. Litt./ LL. D. degree of this University and take necessary action to get the report of the examiner expeditiously.
- (c) The examiners shall be requested to submit their individual reports within three months of the receipt of the thesis.
- (d) In case, an examiner does not send his/her report within the above period, a reminder shall be sent to him/her. This shall be followed by a subsequent reminder after a fortnight.
- (e) In the event of the report not being received from the examiner within 16 weeks, his/her examinership shall be cancelled and a new examiner shall be appointed, from the existing panel of examiners, as per Clause VII.3.
- (f) The examiners shall examine the thesis specifically with a view to judge whether the work is in accordance with Clause VI.4.
- (g) The examiners shall give explicit reports with any one of the following recommendations
 - (i) the thesis be accepted for the award of D. Sc./ D. Litt./ LL. D./ degree



- (ii) the thesis be rejected
- (iii) the thesis be submitted in a revised form after adding some more work to the already submitted work.
- (h) The examiner shall give specific and unambiguous reasons for his/ her recommendations. If the thesis is recommended for revision, the examiner may suggest points for improvement of the presented work.
- (i) If the thesis is recommended for revision, the candidate shall be required to submit the revised thesis not earlier than six months and not later than two years, from the date of communication of the report to him/ her by the University. The candidate shall be required to remit only the Examination fee for submitting the revised thesis.
- (j) If the thesis has been recommended for revision, a fresh appointment of examiners in accordance with Clause VII.3 shall be made from the existing panel of examiners. If the need be, a fresh panel of examiners may be recommended by the RDC, or the Vice Chancellor may ask the concerned department to make a supplementary list of examiners. The other procedures as per the Clauses VII.4 (a) to (f) shall be followed for the evaluation of the thesis. However, the Controller of Examinations/ Registrar, along with the revised thesis, shall send the copy (copies) of the recommendation(s) of the examiner(s) who recommended the revision of the thesis.
- (k) The examiners who evaluate the revised thesis shall recommend only either the acceptance or the rejection of the thesis and shall not recommend any further revision of the thesis.
- (l) If the thesis is accepted for award of the degree, the examiners may recommend: either (i) the University shall publish the thesis by its own expenditure, or (ii) the candidate may publish the thesis by his/her own expenditure.

VII.5 Award of the Degree

- (m) The reports of all the examiners shall be placed before the concerned RDC. If all the reports are unanimous, recommending the thesis to be accepted for the award of the degree, and if the RDC considers the case to be fit and proper, it shall recommend it for the award of the degree.
- (n) Even if one examiner recommends the rejection of the thesis, the thesis shall be rejected.
- (o) If the thesis is rejected, the candidate shall not be allowed to apply again for admission within a period of 4 (four) years.
- (p) After the Research Degree Committee approves the thesis for the award of the degree, the candidate concerned may be given the examiners' reports for which he/ she shall apply separately.
- (q) The year of award of the degree shall be the year of submission of the thesis provided the thesis is accepted without revision. In case of revision, the year of award of the degree shall be the year of submission of the revised thesis.
- (r) The degree certificate shall mention the title of the thesis and the name of the concerned Department in which the candidate was admitted.
- (s) The Extended Abstract of the approved thesis for the award of the degree shall be published in the "Abstracts of Accepted Theses for the Doctor of



Science, Doctor of Letters and Doctor of Laws Degree" of Jananayak Chandrashekhar University, Ballia.

- (1) The Academic Section shall send one copy of the thesis duly approved for the award of the degree, for preserving in the Central library of the University and the other in the departmental/ faculty library.

VIII. CONCLUSION

VIII.1 Notwithstanding anything contained in this Ordinance, all matters related to the candidates shall be governed by the rules and procedures framed by the Academic Council that are in force at that point of time.

VIII.2 Any doubt or dispute about the interpretation of this Ordinance shall be referred to the Vice-Chancellor, whose decision, in his/her capacity as the Chairman, Academic Council, shall be final.

The Vice-Chancellor may modify, amend and/ or delete any of the clauses given in this Ordinance or add any clause(s) to the Ordinance, to facilitate the pursuit of excellence in research, provided that any such modification, amendment, deletion, and addition shall be reported to the Academic Council at its next meeting for approval.

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ANNEXURE - A
(see Clause V.3)

Fee Structure for D. Sc./ D. Litt./ LL. D./ Programme

S. No.	Particulars	Amount (Rs.)
1.	Enrolment Fee*	1,000.00
2.	Admission Fee	10,000.00
3.	Examination Fee	20,000.00
	Total	31,000.00

Note: Additional fees for foreign nationals and NRIs shall be US \$4000.

* Only for applicants who are not the alumni of this University.

Ph

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ANNEXURE – B

(see Clause VI.3 (a), (c))

FORMAT OF THE THESIS

The following format may be normally adopted for the D. Sc./ D. Litt./ LL. D.thesis:

1. Cover page.
2. Inner cover page.
3. Declaration by the candidate as per the format given in *Annexure – C*, to the effect that the work has not been submitted for any other degree or diploma.
4. Certificate from the/advisor, Head of the Department and Chairman of the RDC as per the format given in *Annexure – D*.
5. Contents.
6. An Extended Abstract of about 2000 words, describing the research work carried out during the last 5 (five) years (before the date of submission), on the subject relevant to the discipline in which the candidate has applied for the degree, explaining how far the work is original, exemplary and is contributive to the advancement of knowledge. It shall also summarize the relevance of the publications to the specific subject of the thesis being submitted.
7. Reprints of the published work by the candidate, in the relevant subject, in the form of research papers, abstracts of books/ monographs, chapter contribution to books/ monographs/ citations of candidate's work by others.
8. List of publications.
9. A personal profile of the candidate with photograph, not exceeding one page.



ANNEXURE - C
(see Clause VI.3 (d))

CANDIDATE'S DECLARATION

I, declare that this thesis, entitled "
(Name of the candidate) (Title of the thesis)"
submitted for the award of the degree of of this University, has
(Name of the Degree)
not been submitted earlier for the award of any degree or diploma of this or any other University.

Date:

(Signature of the candidate)

Place: Ballia



ANNEXURE – D
(see Clause VI.3 (c))

CERTIFICATE

This is to certify that this thesis entitled “.....” has been
(Title of the thesis)
submitted by for the award of the
(Name of the Candidate)
degree of
(Name of the Degree)
of Jananayak Chandrashekhar University, Ballia.

.....
(Signature of the /Advisor)
.....
(Name & Designation)

.....
(Signature of the Head of the Department)

(Signature of the Chairman of the RDC)

